

July 2021

J12: *Securities advice and dealing* study text, 2021–22 edition

This edition of the study text is based on the 2021–22 examination syllabus and forms the study material for exams to be sat from 1 September 2021 until 31 August 2022.

Updates and amendments have been made throughout the text; where relevant, web updates issued for the 2020–21 edition have been included.

A summary of where the principal changes have been made is given below:

Chapter 1: Introduction and sections A4 and C3 updated.

Chapter 2: sections B1A and D1 updated.

Chapter 3: sections A2C, A4A and A4B updated.

Chapter 4: sections A6, B1, C2 and F3 updated, new section E added.

Chapter 5: sections C5F and D1C updated.

Chapter 6: sections C1B and E4 updated, section C1D removed.

Chapter 7: section B3 updated.

Chapter 9: section B1 updated.

Chapter 10: new section A5G added.

Chapter 11: sections B2C and F1 updated, new sections F1A and F1B added.

Chapter 12: section C1 updated.

Questions/answers, websites, key terms/points, legislation and index: amended where relevant.

We hope this information is helpful to you.

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